

**Political Organization
Notice of Section 527 Status**

OMB No. 1545-1693

Part I General Information

1 Name of organization SECURITIES INDUSTRY ASSOC. NEW YORK DISTRICT		Employer identification number 23 : 7297254
2 Mailing address (P.O. Box or number, street, and room or suite number) 120 Broadway, 35th Floor City or town, state, and ZIP code NEW YORK, NY 10271		
3 E-mail address of organization MJEONG@SIA.COM		
4a Name of custodian of records MARK JEONG	4b Custodian's address 120 Broadway, 35th FL NEW YORK, NY 10271	
5a Name of contact person MARK JEONG	5b Contact person's address 120 Broadway, 35th FL New York, NY 10271	
6 Business address of organization (if different from mailing address shown above). Number, street, and room or suite number City or town, state, and ZIP code		

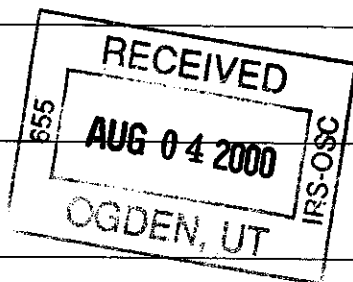
Part II Purpose

7 Describe the purpose of the organization

~~The purpose of the Securities Industry Association - New York District~~
~~shall be to provide a unified association for the members of the securities~~
~~business located in this District to advance their common interest, to represent~~
~~them effectively in legislative and administrative matters, to conduct research~~
~~and disseminate information of value, to foster a better understanding of all~~
~~phases of the securities business.~~

Part III List of All Related Entities (see instructions)

8a Name of related entity	8b Relationship	8c Address



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